

## Uniform Distribution and Real Fields

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*Communicated by I. N. Herstein*

Received June 16, 1975

### INTRODUCTION

In this paper we continue the investigation into the *group of algebras with uniformly distributed invariants*,  $U(K)$ , and its relation to the *Schur subgroup*  $S(K)$ , undertaken in [6, 7]. We maintain the notation of [6, 7].

In the first section we investigate  $U(K)$  for a real quadratic field  $K$ . We calculate generators of  $U(K)$  explicitly.

In the second section we investigate  $U(K)$  for other real fields  $K$ . We use a recent result by Yamada to show that  $|U(K) : S(K)|$  is infinite for real fields  $K$  subject to the restrictions:

(1)  $\mathbf{Q}(\epsilon_n)/K$  is cyclic where  $\mathbf{Q}(\epsilon_n)$  is the least root of unity field containing  $K$ .

(2)  $n \not\equiv 2 \pmod{4}$ , and is divisible by at least two distinct primes.

We note that a special case of the above result is for  $K = \mathbf{Q}(\epsilon_n + \epsilon_n^{-1})$ . In the case where  $n$  is odd and divisible by at least two distinct primes then we obtain:  $S(\mathbf{Q}(\epsilon_n + \epsilon_n^{-1})) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$  if and only if  $n$  is divisible by a prime congruent to 3 modulo 4. This condition is the exact analog of Yamada's result on real quadratic fields  $\mathbf{Q}(d^{1/2})$  [9, Theorem 7.14, p. 112; 13], viz.:  $S(\mathbf{Q}(d^{1/2})) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} \mathbf{Q}(d^{1/2})$  if and only if  $d$  is divisible by a prime congruent to 3 modulo 4. In the case where  $d$  is not divisible by any prime congruent to 3 modulo 4 then  $S(K) = U(K)$  [9, Theorem 7.8, p. 107; 12].

As a corollary of the above result we obtain: If

(1)  $K/\mathbf{Q}$  is real of even degree, and

(2) the least root of unity field  $\mathbf{Q}(\epsilon_n)$  containing  $K$  has the property that  $n$  is odd, divisible by at least 2 distinct primes, and no prime congruent to 1 modulo 4 divides  $n$ , then

$$S(K) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K.$$

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When  $K$  is a quadratic field subject to these conditions then we get a special case of Yamada's aforementioned result for real quadratic fields [9, Theorem 7.8, p. 107]. Finally, for  $K$  subject to these conditions we obtain that  $|U(K) : S(K)|$  is infinite.

1. REAL QUADRATIC FIELDS

Before we proceed with the task of calculating generators of  $U(K)$  where  $K$  is a real quadratic field we need some notation and class field theoretic concepts most of which can be found in [5].

- $K$  = algebraic number field with ring of integers  $R$ .
- $K^{(1)}$  = Hilbert class field of  $K$  [5, p. 191].
- $K^{(+)}$  = extended Hilbert class field of  $K$  [5, p. 203].
- $m = m_0 m_\infty = a$   $K$ -modulus [5, p. 107], where
- $m_0$  = modulus which is the product of the finite primes appearing with positive exponent in  $m$ , and
- $m_\infty$  = the product of the real primes in  $m$ .
- $I_K$  = group of fractional  $R$ -ideals of  $K$ .
- $I_K^m$  = subgroup of  $I_K$  generated by primes not dividing  $m_0$ .
- $K_m = \{a|b : a, b \in R; aR, bR \text{ relatively prime to } m_0\}$  [5, p. 108].
- $K_{m,1} = \{\alpha \in K_m : \alpha \equiv 1 \pmod{m}\}$  [5, p. 108]. (The group  $K_{m,1}$  is called the *ray mod m*.)
- $i$  = the map from  $K^*$  to  $I_K$  where  $i(\alpha)$  is the principal ideal  $(\alpha) = \alpha R = i(\alpha)$ . (We observe that  $i(K_{m,1}) \subseteq I_K^m$ .)

Now we need a lemma.

LEMMA 1.1. *Let  $K = \mathbf{Q}(d^{1/2})$  where  $d$  is a positive square-free integer. Let  $q$  be a prime dividing  $d$ , and  $p$  an odd prime not dividing  $d$  such that  $(q|p) = -1$  (where  $(\cdot|\cdot)$  denotes the Legendre symbol). Then there exists a prime  $r$  such that  $(r|p) = 1$  and  $a^2 - db^2 = rq$  where  $a + b(d^{1/2})$  is an algebraic integer in  $K$  (so that  $2a, 2b$  are rational integers).*

*Proof.* First we need some notation.

- $L = K^{(+)}((\delta(p) \cdot p)^{1/2})$ , where  $\delta(p) = (-1)^{(p-1)/2}$ .
- $\mathcal{P}$  = a  $K$ -prime above  $p$ .
- $m$  = a modulus which is a product of the real  $K$ -primes.
- $(n) = m \cdot \mathcal{P}^{a(\mathcal{P})}$  = modulus, where  $\mathcal{P}$  appears to a sufficiently high power  $a(\mathcal{P})$ .

Now, the Artin reciprocity theorem [5, Theorem 5.7, p. 164] says that the Artin map,  $\phi_{L/K}^{(n)}$  [5, p. 103], maps  $I_K^{(n)}$  onto  $\text{Gal}(L/K)$  and  $\phi_{K^{(+)} / K}^{(n)}$  maps  $I_K^{(n)}$  onto  $\text{Gal}(K^{(+)} / K)$ .

Since  $K^{(+)} \subseteq L$  then clearly  $\ker \phi_{L/K}^{(n)} \subseteq \ker \phi_{K^{(+)} / K}^{(n)}$ . The first step is to show that  $\ker \phi_{L/K}^{(n)} \neq \ker \phi_{K^{(+)} / K}^{(n)}$ . Then we choose a suitable element  $(y)$  in  $\ker \phi_{K^{(+)} / K}^{(n)}$  not in  $\ker \phi_{L/K}^{(n)}$ , and choose a suitable prime  $\mathcal{P}$  in  $\mathcal{Q}^{-1}(y) \ker \phi_{L/K}^{(n)}$  where  $\mathcal{Q}$  is a  $K$ -prime above  $q$ . The final step is to analyze  $\phi_{L/K}^{(n)}(\mathcal{P}\mathcal{Q})$  and show that the required properties hold.

So, we begin now by showing that  $\ker \phi_{L/K}^{(n)} \neq \ker \phi_{K^{(+)} / K}^{(n)}$ . If we have that  $\ker \phi_{L/K}^{(n)} = \ker \phi_{K^{(+)} / K}^{(n)}$ , then

$$\text{Gal}(K^{(+)} / K) \cong I_K^{(n)} / \ker \phi_{K^{(+)} / K}^{(n)} = I_K^{(n)} / \ker \phi_{L/K}^{(n)} \cong \text{Gal}(L / K).$$

Therefore,  $L = K^{(+)}$  which implies that  $(\delta(p) \cdot p)^{1/2} \in K^{(+)}$ . Hence  $p$  is ramified in  $K^{(+)} / \mathbf{Q}$ . However,  $K^{(+)}$  is an unramified extension of  $K$  [5, Theorem 13.1, p. 191], and the only  $K$ -primes which ramify in  $K^{(+)}$  are infinite primes [5, p. 203]. Hence  $p$  must ramify in  $K / \mathbf{Q}$ . But  $p$  does not divide  $d$  and  $p \neq 2$  so that  $p$  does not divide the discriminant of  $K = \mathbf{Q}(d^{1/2})$ . Therefore  $p$  is unramified in  $K / \mathbf{Q}$ , a contradiction. Hence, we have that

$$\ker \phi_{L/K}^{(n)} \neq \ker \phi_{K^{(+)} / K}^{(n)}.$$

Now, we let  $\langle \sigma \rangle = \text{Gal}(L / K^{(+)})$  and choose  $(y) \in \ker \phi_{K^{(+)} / K}^{(n)}$  such that  $\phi_{L/K}^{(n)}(y) = \sigma$ .

We have the chain of subgroups:  $I_K^{(n)} \supseteq \ker \phi_{L/K}^{(n)} \supseteq i(K_{n,1})$ . By [5, (4.6.2), p. 132; 5, Theorem 10.3, p. 182] we have that any coset of  $\ker \phi_{L/K}^{(n)}$  in  $I_K^{(n)}$  contains infinitely many primes which have relative degree one over  $\mathbf{Q}$ . If  $\mathcal{Q}$  is a  $K$ -prime above  $q$  then  $\mathcal{Q}^{-1}(y) \ker \phi_{L/K}^{(n)}$  is such a coset and we choose a prime  $\mathcal{P}$  in this coset with relative degree one over  $\mathbf{Q}$ . Therefore,  $\mathcal{P}\mathcal{Q} \in (y) \ker \phi_{L/K}^{(n)}$  and so  $\phi_{L/K}^{(n)}(\mathcal{P}\mathcal{Q}) = \phi_{L/K}^{(n)}(y) = \sigma$ .

Now, the final step is to analyze  $\phi_{L/K}^{(n)}(\mathcal{P}\mathcal{Q})$ . By hypothesis,  $(q/p) = -1$  which implies that  $q$  is inert in  $\mathbf{Q}((\delta(p) \cdot p)^{1/2}) / \mathbf{Q}$  [5, Lemma 9.7, p. 46]. But  $q$  is ramified in  $K$ , so that  $q$  is inert in  $K(\delta(p) \cdot p)^{1/2} / K$ . If we let  $E = K((\delta(p) \cdot p)^{1/2})$  then the last statement implies that  $\phi_{E/K}^{(n)}(\mathcal{Q}) = \sigma$ . From  $\phi_{L/K}^{(n)}(\mathcal{P}\mathcal{Q}) = \sigma$  we get that  $\phi_{E/K}^{(n)}(\mathcal{P}\mathcal{Q}) = \sigma$ . But then  $\phi_{E/K}^{(n)}(\mathcal{Q}) = \sigma$  implies  $\phi_{E/K}^{(n)}(\mathcal{P}) = 1$ , i.e.,  $\mathcal{P}$  splits completely in  $E / K$ . However,  $\mathcal{P}$  was chosen to have relative degree one over  $\mathbf{Q}$ . Therefore, if  $\mathcal{P}$  lies above  $r$  in  $K / \mathbf{Q}$  we have that  $r$  splits in  $\mathbf{Q}((\delta(p) \cdot p)^{1/2}) / \mathbf{Q}$ ; i.e.,  $(r/p) = 1$  [5, Lemma 9.7, p. 46] (which is one of the required conditions).

It remains to show  $a^2 - db^2 = rq$  where  $a + b(d^{1/2})$  is an algebraic integer in  $K$ .

We have that

$$(y) \ker \phi_{L/K}^{(n)} \subseteq \ker \phi_{K^{(+)} / K}^{(n)} \subseteq \ker \phi_{K^{(+)} / K} = i(K_{m,1}),$$

where  $\phi_{K^{(+1)}/K}$  maps  $I_K$  onto  $\text{Gal}(K^{(+1)}/K)$  and we recall that  $m =$  product of the real  $K$ -primes. But  $\mathcal{R}\mathcal{Q} \in (\mathcal{y}) \ker \phi_{L/K}^{(n)}$ , which implies that  $\mathcal{R}\mathcal{Q} \in i(K_{m,1})$  so that  $\mathcal{Q}$  is principal with totally positive generator [5, p. 203]. We let  $\mathcal{R}\mathcal{Q} = (a + b(d)^{1/2})$  where  $a + b(d)^{1/2}$  is an algebraic integer in  $K$  with  $(a + b(d)^{1/2}) > 0$  and  $(a - b(d)^{1/2}) > 0$ . Therefore,  $N_{K/Q}(\mathcal{R}\mathcal{Q}) = a^2 - b^2d = rq$  (where  $N_{K/Q}$  denotes the norm in  $K/Q$ ). Q.E.D.

Now, before defining some algebras we need the concept of a crossed product and some notation.

If  $L$  is an extension field of  $K$  with Galois group  $G = \text{Gal}(L/K)$  and if  $\alpha$  is a factor set from  $G \times G$  to  $L$  then the crossed product made with  $L$  and  $\alpha$  is denoted by  $(L/K, \alpha)$  [4, Sect. 4, p. 107]. This is the central simple  $K$ -algebra having  $L$ -basis  $u_\sigma, \sigma \in G$ , subject to the rules

$$u_\sigma u_\tau = \alpha(\sigma, \tau) u_{\sigma\tau}, \quad u_\sigma x = \sigma(x) u_\sigma \quad \text{for } x \in L.$$

In the case  $G = \langle \sigma \rangle$  is cyclic then we write  $(L/K, a)$  for the crossed product in which

$$\begin{aligned} (u_\sigma)^i &= u_{\sigma^i}, & 1 \leq i < |\sigma|, \\ &= a, & i = |\sigma|. \end{aligned}$$

Now we proceed to define algebras which will serve as generators of  $U(\mathbf{Q}(d^{1/2}))$ ,  $d > 0$  and square-free. By Dirichlet's density theorem [3, Corollary 9-2-7, p. 168] there are infinitely many primes  $p$  which are inert in  $K$ ; i.e., for which the Legendre symbol  $(d/p) = -1$  [5, Lemma 9.5, p. 45]. For each such  $p$  there exists at least one prime  $q_p$  dividing  $d$  such that  $(q_p/p) = -1$ . Now, we let  $p$  range over all odd primes such that  $(d/p) = -1$ . Then we let  $L = \mathbf{Q}(d^{1/2}, (\delta(p) \cdot p)^{1/2})$ , where  $\delta(p) = (-1)^{(p-1)/2}$ , and define for each such  $p$  the cyclic algebra:

$$A_{p, q_p} = (L/Q(d^{1/2}), a + b(d)^{1/2}), \tag{1.2}$$

where  $a + b(d)^{1/2}$  is an algebraic integer in  $K$  such that  $a^2 - b^2d = rq_p$ , and  $(r/p) = 1$  where  $r$  is a suitably chosen prime. By Lemma 1.1, such a prime  $r$  exists.

If there exists more than one prime dividing  $d$  then for each such prime  $t$ , we define the cyclic algebra:

$$B_{t, s} = (\mathbf{Q}(d^{1/2}, s^{1/2})/\mathbf{Q}(d)^{1/2}, d^{1/2}), \tag{1.3}$$

where  $s$  is a prime chosen such that: (i)  $(t/s) = -1$  (ii)  $(u/s) = 1$  for all odd primes  $u \neq t$  dividing  $d$  (iii) if  $t = 2$  then  $s \equiv 5 \pmod{8}$  and if  $t \neq 2$  then  $s \equiv 1 \pmod{8}$ .

Now we show that such a prime  $s$  exists. By Dirichlet's density theorem [3, Corollary 9-2-7, p. 168], there exist (infinitely many) primes  $w, y$  such

$(u/w) = 1$  for all primes  $u \neq t$  dividing  $d$ . By the Chinese Remainder Theorem there is a solution to the equations: (1)  $x \equiv w \pmod{u}$  for all odd primes  $u \neq t$  dividing  $d$ , (2)  $x \equiv 1 \pmod{8}$  for  $u = 2 \neq t$ , (3) (i)  $x \equiv V \pmod{t}$  if  $t \neq 2$ ; where  $(V/t) = -1$  (ii)  $x \equiv 5 \pmod{8}$  if  $t = 2$ . We let  $c$  be such a solution. Then by Dirichlet's theorem on primes in arithmetic progression [3, Corollary 9-2-8, p. 168] we have that there are infinitely many primes in the sequence  $\{c + 8dn\}_{n \in \mathbb{Z}}$ . Any such prime  $s$  satisfies the required properties, and the assertion is proved.

We note that there are only finitely many algebras as in (1.3) since only finitely many ramified primes exist.

Furthermore, if 2 is inert in  $\mathbb{Q}(d)^{1/2}$  then  $d \equiv -3 \pmod{8}$ , so that there is some prime  $u$  dividing  $d$  such that  $u \equiv \pm 3 \pmod{8}$ . For such a prime  $u$  we define the cyclic algebra:

$$C_{2,u} = (\mathbb{Q}(d^{1/2}, r^{1/2})/\mathbb{Q}(d^{1/2}), d^{1/2}), \tag{1.4}$$

where  $r \equiv 3 \pmod{4}$  is a prime such that  $(r/u) = -1$  and  $(r/v) = 1$  for all  $v \neq u$  dividing  $d$ .

To see that such a prime  $r$  exists, it is straightforward to carry out the same argument as given to show that  $s$  exists in (1.3) above.

Now we compute the invariants of the algebras given in (1.2) to (1.4) above.

LEMMA 1.5. (1)  $A_{p,q_p}$  (1.2) have invariants  $\frac{1}{2}$  at the  $K$ -prime above  $p$ , at the  $K$ -prime above  $q_p$ , and zero elsewhere.

(2)  $B_{t,u}$  (1.3) have invariants  $\frac{1}{2}$  at the  $K$ -prime above  $t$ , at the  $K$ -prime above  $u$  and zero elsewhere.

(3)  $C_{2,u}$  (1.4) has invariants  $\frac{1}{2}$  at the  $K$ -prime above 2, at the  $K$ -prime above  $u$  and zero elsewhere.

(We note that the above algebras (1) to (3) are clearly in  $U(K)$ .)

*Proof.* (1) We let  $w$  be a rational prime. Thus  $A_{p,q_p} \otimes_{\mathbb{Q}(d^{1/2})} \mathbb{Q}_w(d)^{1/2} \sim 1$  if and only if  $a + b(d^{1/2})$  is a norm in  $\mathbb{Q}_w(d^{1/2}, (\delta(p) \cdot p)^{1/2})/\mathbb{Q}_w(d^{1/2})$  [1] and this holds if and only if  $N(a + b(d^{1/2}))$  is a norm in  $\mathbb{Q}_w((\delta(p) \cdot p)^{1/2})/\mathbb{Q}_w$ , [3, Proposition 12.25, p. 221], where  $N$  denotes the norm in  $\mathbb{Q}_w(d^{1/2})/\mathbb{Q}_w$ .

We let  $w$  denote any finite prime of  $\mathbb{Q}$  except  $p$ ,  $r$  and  $q_p$ . Since  $\delta(p) \cdot p \equiv 1 \pmod{4}$  the discriminant of  $\mathbb{Q}((\delta(p) \cdot p)^{1/2})$  is  $p$  so  $\mathbb{Q}_w((\delta(p) \cdot p)^{1/2})/\mathbb{Q}_w$  is unramified ( $w \neq p$ ). Since  $(a + b(d^{1/2}))(a - b(d^{1/2})) = rq_p$  is a unit of  $\mathbb{Q}_w$ , ( $w \neq r$  or  $q_p$ ) then  $N(a + b(d^{1/2}))$  is a unit of  $\mathbb{Q}_w$  and hence a norm in the unramified extension  $\mathbb{Q}_w((\delta(p) \cdot p)^{1/2})/\mathbb{Q}_w$  [5, Proposition 3.11, p. 153]. Consequently  $A_{p,q_p}$  splits at all finite primes  $w \neq p, q_p, r$ .

If  $w$  is the infinite prime then  $\mathbb{Q}_w((\delta(p) \cdot p)^{1/2}) = \mathbb{R}$  or  $\mathbb{C}$  depending on

whether or not  $\delta(p) = 1$ . Now  $\mathbf{Q}_w(d^{1/2}) = R = \mathbf{Q}_w$  so that  $N(a + b(d)^{1/2}) = a + b(d)^{1/2}$ , and by choice of  $a + b(d)^{1/2}$  in Lemma 1.1,  $a + b(d)^{1/2} > 0$  and  $a - b(d)^{1/2} > 0$ . Therefore,  $N(a + b(d)^{1/2})$  is a norm in  $\mathbf{Q}_w((\delta(p) \cdot p)^{1/2})/\mathbf{Q}_w$ . Hence  $A_{p,q_p}$  is split at the infinite prime. It remains to check  $w = p, q_p$  and  $r$ .

For  $w = r$  we have  $(r/p) = 1$ . Thus  $(\delta(p) \cdot p/r) = 1$  by the quadratic reciprocity law. Therefore,  $Q_r((\delta(p) \cdot p)^{1/2}) = Q_r$  and so  $N(a + b(d)^{1/2})$  is a norm in  $Q_r((\delta(p) \cdot p)^{1/2})/Q_r$ . Hence  $A_{p,q_p}$  is split at  $r$ .

If  $w = p$  then  $N(a + b(d)^{1/2}) = rq_p$  since  $p$  is inert in  $\mathbf{Q}(d^{1/2})/\mathbf{Q}$ . We have

$$(rq_p, \delta(p) \cdot p)_p = (r, \delta(p))_p (r, p)_p (q_p, \delta(p))_p (q_p, p)_p$$

by properties of the norm residue symbol [7, Proposition 2.5, p. 15]. Therefore

$$\begin{aligned} (rq_p, \delta(p) \cdot p)_p &= (r, p)_p (q_p, p)_p && \text{by [7, Proposition 2.5(5), p. 15],} \\ &= (r/p)(q_p/p) && \text{by [8, p. 251],} \\ &= (+1)(-1) = -1 && \text{by hypothesis.} \end{aligned}$$

Thus  $A_{p,q_p}$  is not split at  $p$ . It remains to check  $w = q_p$ .

But the index of  $A_{p,q_p}$  must divide  $|\mathbf{Q}(d^{1/2}, (\delta(p) \cdot p)^{1/2}) : \mathbf{Q}(d^{1/2})| = 2$  [1]. Therefore  $A_{p,q_p}$  must have index equal to 2 at  $p$ . However,  $p$  is inert in  $\mathbf{Q}(d^{1/2})$  since  $(d/p) = -1$  by hypothesis [5, Lemma 9.5, p. 45]. Consequently by Hasse's Sum Theorem,  $A_{p,q_p}$  must be nonsplit at  $q_p$  as well. However,  $q_p$ , being ramified, has only one prime above it in  $K$ . Thus the index of  $A_{p,q_p}$  at  $q_p$  is 2 as required.

(2) For a rational prime  $w$ , we have  $B_{t,u} \otimes_{\mathbf{Q}(d^{1/2})} \mathbf{Q}_w(d)^{1/2} \sim 1$  if and only if  $N(d^{1/2})$  is a norm in  $\mathbf{Q}_w(s^{1/2})/\mathbf{Q}_w$  [3, Proposition 12.25, p. 221] where  $N$  denotes the norm in  $\mathbf{Q}_w(d^{1/2})/\mathbf{Q}_w$ .

We let  $w, w \nmid sd$  be a finite prime. Then  $\mathbf{Q}_w(s^{1/2})/\mathbf{Q}_w$  is unramified, and  $-d = (d)^{1/2} - (d)^{1/2}$  is a unit of  $\mathbf{Q}_w$ , so  $N(d^{1/2})$  is a unit of  $\mathbf{Q}_w$ , hence a norm from  $\mathbf{Q}_w(s^{1/2})$ . Consequently  $B_{t,u}$  splits at all finite primes except possibly  $s$  or those primes dividing  $d$ .

If  $w$  is the infinite prime then  $\mathbf{Q}_w(d^{1/2}, s^{1/2}) = R = \mathbf{Q}_w$ , so that  $B_{t,u}$  splits at  $w$ .

For odd primes  $w \neq t$  dividing  $d$  we have  $(-d, s)_w = (w, s)_w = (s/w)$  if  $w \neq 2$  by [7, Proposition 2.5, p. 15; 8, p. 249]. Thus

$$(-d, s)_w = (s/w) = 1 \quad \text{by hypothesis.}$$

If  $w = 2$ , then  $t \neq 2$ . Thus  $s \equiv 1 \pmod{8}$  by hypothesis, and so  $(w, s)_w = 1$ .

Hence  $B_{t,u}$  splits at all odd primes  $w \neq t, u$  dividing  $d$ .

For  $w = s$  we have

$$(-d, s)_w = (d/w),$$

by [7, Proposition 2.5, p. 15; 8, p. 251]. But  $(d/w) = -1$  by hypothesis. Hence  $B_{t,u}$  is not split at  $s$ . It remains to check for  $w = t$ .

But the index of  $B_{t,s}$  must divide  $|\mathbf{Q}(d^{1/2}, s^{1/2}) : \mathbf{Q}(d^{1/2})| = 2$ , so  $B_{t,s}$  has index 2 at  $s$ . Since there is exactly one prime above  $t$ ,  $B_{t,s}$  has invariants  $\frac{1}{2}$  at  $t$ . Consequently, by Hasse's Sum Theorem,  $B_{t,s}$  cannot have nonzero invariant at the one prime above the ramified  $K$ -prime  $t$ . Hence,  $B_{t,s}$  has invariants  $\frac{1}{2}$  at  $t$  and  $s$  and zero elsewhere.

(3) For any rational prime  $w$ , we have  $C_{2,u} \otimes_{\mathbf{Q}(d^{1/2})} \mathbf{Q}_w(d^{1/2}) \sim 1$  if and only if  $N(d^{1/2})$  is a norm in  $\mathbf{Q}_w(r^{1/2})/\mathbf{Q}_w$  [3, Proposition 12.25, p. 221], where  $N$  denotes the norm in  $\mathbf{Q}_w(d^{1/2})/\mathbf{Q}_w$ .

For  $w$  infinite  $\mathbf{Q}_w(d^{1/2}, r^{1/2}) = \mathbf{Q}_w(d^{1/2}) = R$  so that  $C_{2,u}$  is split at  $w$ .

We let  $w \nmid 2rd$  be a finite prime.  $\mathbf{Q}_w(r^{1/2})/\mathbf{Q}_w$  is unramified ( $w \neq 2, r$ ), so that  $-d = (d)^{1/2} - (d)^{1/2}$  is a unit of  $\mathbf{Q}_w$  hence a norm from  $\mathbf{Q}_w(r^{1/2})$ . Consequently,  $C_{2,u}$  splits for all finite primes  $w \nmid 2rd$ .

For any odd  $w \neq u$  dividing  $d$  we have  $(-d, r)_w = (w, r)_w = (r/w) = 1$  using properties of the norm residue symbol [7, Proposition 2.5, p. 15; 8, p. 251], and where the last equality follows by hypothesis. Consequently,  $C_{2,u}$  splits for all  $w \neq 2, u, r$ .

For  $w = u$  we have

$$(-d, r)_u = (u, r)_u = (r/u) = -1$$

by properties of the norm residue symbol, and where the last equality follows from the selection of  $r$  in (1.4). Therefore  $C_{2,u}$  is not split at  $u$ .

For  $w = r$  we have

$$\begin{aligned} (-d, r)_r &= (-d/r) && \text{by [8, p. 251],} \\ &= -(d/r) && \text{since } r \equiv 3 \pmod{4}. \end{aligned}$$

Now we show that  $(d/r) = -1$ . Since  $d \equiv -3 \pmod{8}$  by the hypothesis of (1.4) then there are an even number of primes dividing  $d$  which are congruent to 3 modulo 4. But  $u \equiv \pm 3 \pmod{8}$ . By hypothesis of (1.4),  $r \equiv 3 \pmod{4}$  and  $(r/u) = -1$ . Therefore  $(u/r) = 1$  if  $u \equiv 3 \pmod{8}$  (respectively,  $(u/r) = -1$  if  $u \equiv -3 \pmod{8}$ ). The number of primes  $v \neq u$  dividing  $d$  such that  $v \equiv 3 \pmod{4}$  is odd when  $u \equiv 3 \pmod{8}$  (respectively, even if  $u \equiv -3 \pmod{8}$ ). For these primes  $v$  we have  $(v/r) = -1$ , since  $(r/v) = 1$  by hypothesis. For the remaining primes  $v \neq u$  dividing  $d$  we have  $(v/r) = 1$ . Hence,  $(d/r) = -1$ , as required. Therefore  $(-d, r)_r = -(d/r) = 1$ , and so  $C_{2,u}$  is split at  $r$ .

It remains to check for  $w = 2$ . The index of  $C_{2,u}$  must divide  $|\mathbf{Q}(d^{1/2}, r^{1/2}) : \mathbf{Q}(d^{1/2})| = 2$  so that the index of  $C_{2,u}$  at the one prime above the ramified prime  $u$  is 2. Since there is only one  $K$ -prime above 2, being inert by hypothesis, then Hasse's Sum Theorem yields that the index of  $C_{2,u}$  at the  $K$ -prime above 2 must be 2. Q.E.D.

**THEOREM 1.6.** *Generators of  $U(K)$  for the real quadratic field  $K = \mathbf{Q}(d^{1/2})$  are*

- (1)  $A_{p,q_p}$  where  $p$  ranges over all primes such that  $(d/p) = -1$ , as given in (1.2).
- (2)  $B_{t,u}$ , provided there is more than one odd prime dividing  $d$ , as given in (1.3).
- (3)  $C_{2,u}$ , provided 2 is inert in  $K$ , as given in (1.4).
- (4) Generators of  $S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$ .

*Proof.* We let  $[A]$  in  $U(K)$  have nonzero invariants at primes in  $S = \{p_1, \dots, p_n\}$ . By the definition of uniform distribution [6, p. 1] the maximum  $p_i$ -local index is 2.

For any odd  $p_i \in S$  such that  $(d/p_i) = 1$ , i.e.,  $p_i$  is completely split in  $K$  [5, Lemma 9.5, p. 45]; choose  $[B_i]$  in  $S(\mathbf{Q})$  with invariant  $\frac{1}{2}$  at  $p_i$  and  $q$ , where  $q$  is any prime dividing  $d$ .  $[B_i \otimes_{\mathbf{Q}} K]$  has nonzero invariants only at primes above  $p_i$ , and zero elsewhere (i.e., has invariant  $\frac{1}{2}$  at each of the two  $K$ -primes above  $p_i$ , and zero elsewhere). Choose a similar  $B_i$  if  $p_i$  is the infinite prime. For the remaining primes of  $S$  which are either inert or ramified in  $K$  we may choose the proper combination of  $A_{p,q_p}$ ,  $B_{t,u}$ , and  $C_{2,u}$  required. Taking the product of the above algebras yields an algebra class equal to  $[A]$  in  $U(K)$ . Q.E.D.

## 2. OTHER REAL FIELDS

If  $K/\mathbf{Q}$  is finite abelian and  $K$  is a real field then  $U(K)_2 = U(K)$  by the definition of uniform distribution [6, p. 1]. The question remains as to the relationship between  $S(K) = S(K)_2$  and  $U(K) = U(K)_2$  when  $K$  is a real field other than quadratic. Yamada [11] has shown that for real subfields  $K$  of  $\mathbf{Q}(\epsilon_{p^n})$  where  $p$  is a prime we have  $S(K) = U(K)$ . Moreover, Yamada [14] has determined  $S(K)$  where  $K$  is a real subfield of  $\mathbf{Q}(\epsilon_n)$  and  $\mathbf{Q}(\epsilon_n)/K$  is cyclic, for any integer  $n$ , where  $\mathbf{Q}(\epsilon_n)$  is the smallest cyclotomic field containing  $K$ .

**THEOREM 2.1.** *If the real field  $K$  is contained in  $\mathbf{Q}(\epsilon_n)$ , where  $\mathbf{Q}(\epsilon_n)/K$  is cyclic,  $n \not\equiv 2 \pmod{4}$  and  $n$  is divisible by at least two distinct primes then the index of  $S(K)$  in  $U(K)$  is infinite.*

*Proof.* We let  $n = 2^a \prod_i p_i^{a_i}$ , where  $a_i$  are integers and  $a \neq 1$ ,  $p_i$  distinct odd primes. Choose  $\sigma \in \text{Gal}(\mathbf{Q}(\epsilon_n)/\mathbf{Q})$  such that  $\sigma(\epsilon_{p_1^{a_1}}) = \epsilon_{p_1^{a_1}}^{-1}$  and  $\sigma(\epsilon_{n/p_1^{a_1}}) = \epsilon_{n/p_1^{a_1}}^{a_1}$ .  $\sigma$  restricts nontrivially to  $\text{Gal}(\mathbf{Q}(\epsilon_n + \epsilon_n^{-1})/\mathbf{Q})$  since otherwise  $\sigma(\epsilon_n) = \epsilon_n^{-1}$ , which is false.

By Dirichlet's density theorem there are infinitely many primes  $p$  with  $\sigma$  as Frobenius automorphism. Now, we show that there are not any elements in  $S(K)$  with nonzero invariant at any such  $p$ . Yamada [14] has proved that if the following conditions are satisfied then the invariant of any element of  $S(K)$  at  $p$  must be zero

- (1)  $p \nmid n$ .
- (2)  $2 \mid f_p$ , where  $f_p$  is the inertial degree of  $p$  in  $K/\mathbf{Q}$ .
- (3)  $|f_p^{-1}|_2 < |\mathbf{Q}(\epsilon_n) : K|$ , where  $f_p^{-1}$  is the inertial degree of  $p$  in  $\mathbf{Q}(\epsilon_n)/K$  and  $|m|_2$  denotes the 2-part of the integer  $m$ .
- (4) If  $a > 0$  then  $p^{f^*/2} \equiv \pm 1 \pmod{2^a}$ , where  $f^*$  is the smallest positive integer with  $p^{f^*} \equiv 1 \pmod{n}$ , i.e., the inertial degree of  $p$  in  $\mathbf{Q}(\epsilon_n)/\mathbf{Q}$  [3, Theorem 6.2.15, p. 103].

We now show that these conditions are satisfied by  $p$ . (1) is clearly satisfied. To show that (2) holds we recall that  $\sigma$  restricts nontrivially to  $\mathbf{Q}(\epsilon_n + \epsilon_n^{-1})$ . Therefore, since  $\text{Gal}(\mathbf{Q}(\epsilon_n)/K)$  is cyclic then  $\sigma \notin \text{Gal}(\mathbf{Q}(\epsilon_n)/K)$ ; i.e.,  $\sigma$  restricts nontrivially to  $K$ , so that (2) holds. Now  $f_p^{-1} = 1$  since  $\sigma \notin G(\mathbf{Q}(\epsilon_n)/K)$  so that (3) holds. Also  $\sigma$  fixes  $\mathbf{Q}(\epsilon_{2^a})$  so that if  $a > 0$  then  $p \equiv 1 \pmod{2^a}$  [3, Theorem 6.2.15, p. 103]. Therefore (4) is satisfied.

We have shown that there is no element in  $S(K)$  with nonzero invariant at any such  $p$  above.

Now we arrange these infinitely many primes in distinct pairs  $\{\lambda\}$ . We define  $[A_\lambda]$  in  $U(K)$  by requiring that  $A_\lambda$  have invariants at the pair of distinct primes  $p$  in  $\lambda$ , and zero elsewhere. We have by Hasse's Sum Theorem that such algebras  $A_\lambda$  exist and they are clearly in  $U(K)$ . Moreover,  $[A_\lambda] \cdot [A_{\lambda'}]^{-1}$  is not in  $S(K)$  for all such  $[A_\lambda] \neq [A_{\lambda'}]$  defined above. Thus, such algebras form an infinite number of coset representatives of  $S(K)$  in  $U(K)$ . Q.E.D.

We note that a special case of the above theorem is for  $K = \mathbf{Q}(\epsilon_n + \epsilon_n^{-1})$ . Now, in order to determine the index of  $S(K)$  in  $U(K)$  for a real field of even degree not covered by the above theorem we prove a result which will lead to a partial answer. The following theorem is interesting in its own

right in that it is the exact analog of Yamada's result on real quadratic fields [13].

**THEOREM 2.2.** *Let  $K = \mathbf{Q}(\epsilon_n + \epsilon_n^{-1})$ , where  $n$  is odd and divisible by at least two distinct primes. Then*

$$S(K) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$$

*if and only if there is a prime  $q$  dividing  $n$  such that  $q \equiv 3 \pmod{4}$ .*

*Proof.* First we assume that  $n$  is divisible by a prime  $q \equiv 3 \pmod{4}$ . We let  $[A]$  in  $S(K)$  have nonzero invariants at the primes in  $S = \{p_1, \dots, p_s\}$ . There are no ramified primes in  $S$  by [14] so  $p_i \nmid n$  for each  $i$ .

We let  $p_i = p$  be arbitrary in  $S$ . We define  $[B]$  in  $S(\mathbf{Q})$  to have nonzero invariants  $\frac{1}{2}$  at  $p$  and at some other prime  $r$  with even inertial degree in  $K/\mathbf{Q}$ , and zero invariant elsewhere. Such an  $r$  exists, since by hypothesis, at least two distinct odd primes divide  $n$  so that  $|K : \mathbf{Q}|$  is even.

Now we show  $[B \otimes_{\mathbf{Q}} K]$  in  $S(K)$  has invariant  $\frac{1}{2}$  exactly at the  $K$ -primes above  $p$ .

If  $\mathcal{R}$  lies over  $r$  in  $K/\mathbf{Q}$  then

$$\text{inv}_{\mathcal{R}}(B \otimes_{\mathbf{Q}} K) \equiv |K_{\mathcal{R}} : \mathbf{Q}_r| \text{inv}_r(B) \pmod{1},$$

by formulas in [2, Chap. 7]. But  $|K_{\mathcal{R}} : \mathbf{Q}_r|$  is even, so that

$$\text{inv}_{\mathcal{R}}(B \otimes_{\mathbf{Q}} K) \equiv 0 \pmod{1}.$$

It remains to show that  $B \otimes_{\mathbf{Q}} K$  is not split at the  $K$ -primes above  $p$ .

*Case 1.*  $p$  is infinite.

Since  $K/\mathbf{Q}$  is real then  $p$  splits completely in  $K$ . Thus;  $B \otimes_{\mathbf{Q}} K$  has invariant  $\frac{1}{2}$  exactly at the infinite  $K$ -primes.

In the following cases we assume  $p$  is finite unramified. We let  $f$  be the least integer such that  $p^f \equiv 1 \pmod{n}$ , i.e., the inertial degree of  $p$  in  $\mathbf{Q}(\epsilon_n)/\mathbf{Q}$  [3, Theorem 6.2.15, p. 103].

*Case 2.*  $f$  is odd.

Thus, if  $\mathcal{P}$  is a  $K$ -prime lying over  $p$  we have  $|K_{\mathcal{P}} : \mathbf{Q}_p|$  is odd so that

$$\begin{aligned} \text{inv}_{\mathcal{P}}(B \otimes_{\mathbf{Q}} K) &\equiv |K_{\mathcal{P}} : \mathbf{Q}_p| \text{inv}_p(B) \pmod{1} \\ &\equiv \text{inv}_p(B) \equiv \frac{1}{2} \pmod{1}. \end{aligned}$$

Thus  $B \otimes_{\mathbf{Q}} K$  has invariants  $\frac{1}{2}$  exactly at the  $K$ -primes above  $p$ .

Case 3.  $f$  is even.

Therefore, by Yamada [14]  $p^{f/2} \equiv -1 \pmod{n}$ . Therefore  $p^{f/2} \equiv -1 \pmod{q}$  where  $q \equiv 3 \pmod{4}$ . So that  $f/2$  is odd since  $-1$  is not a square mod  $q$ . Now we note that by Yamada [14]  $p$  is inert in  $\mathbf{Q}(\epsilon_n)/K$ . Thus  $|K_{\mathcal{P}} : \mathbf{Q}_p| = f/2$  is odd. We have

$$\text{inv}_{\mathcal{P}}(B \otimes_{\mathbf{Q}} K) \equiv |K_{\mathcal{P}} : \mathbf{Q}_p| \text{inv}_p(B) \equiv \text{inv}_p(B) \equiv \frac{1}{2} \pmod{1}.$$

Therefore  $B \otimes_{\mathbf{Q}} K$  has invariants  $\frac{1}{2}$  exactly at the  $K$ -primes above  $p$ .

Hence, by taking  $[B \otimes_{\mathbf{Q}} K]$  for each  $p$  in  $S$  we get  $[A] = \prod [B \otimes_{\mathbf{Q}} K]$ , which yields  $S(K) \subseteq S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$ . But  $S(K) \supseteq S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$  so that  $S(K) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$ .

Conversely, we assume  $S(K) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$ . We assume there does not exist a prime  $q \equiv 3 \pmod{4}$  such that  $q$  divides  $n$ . Thus  $-1 \equiv a_i^2 \pmod{q_i^{x_i}}$ , for some integer  $a_i$ , where  $n = \prod_i q_i^{x_i}$ . We let  $p$  be a rational prime such that  $p \equiv a_i \pmod{q_i^{x_i}}$  for each  $i$ . Such a prime  $p$  is obtained by using the Chinese Remainder Theorem to solve the equations  $c \equiv a_i \pmod{q_i^{x_i}}$  for  $c$ . Then choose a prime  $p$  in the sequence  $c + m \prod_i q_i^{x_i}$ ,  $m = 1, 2, \dots$  by using Dirichlet's theorem on primes in arithmetic progression [3, Corollary 9.2.8, p. 168]. So  $p^2 \equiv -1 \pmod{n}$ . Therefore, by Yamada [14], there is an  $[A] \in S(K)$  such that  $\text{inv}_{\mathcal{P}}(A) \neq 0$  for a  $K$ -prime  $\mathcal{P}$  above  $p$ . But  $S(K) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$ . Thus

$$\begin{aligned} \text{inv}_{\mathcal{P}}(A) &= \text{inv}_{\mathcal{P}}(B \otimes_{\mathbf{Q}} K), \quad \text{for } [B] \in S(\mathbf{Q}) \\ &\equiv |K_{\mathcal{P}} : \mathbf{Q}_p| \text{inv}_p(B) \pmod{1}. \end{aligned}$$

But by Yamada [14],  $p^2 \equiv -1 \pmod{n}$  implies  $p$  is inert in  $\mathbf{Q}(\epsilon_n)/K$ . Thus  $|K_{\mathcal{P}} : \mathbf{Q}_p| = 2$ , so  $\text{inv}_{\mathcal{P}}(A) = 0$ , a contradiction. Hence  $n$  is divisible by a prime  $q \equiv 3 \pmod{4}$ . Q.E.D.

Yamada [11] has shown that for any real subfield  $K$  of  $\mathbf{Q}(\epsilon_{pn})$ ,  $p$  a prime, we have  $U(K) = S(K)$ . Therefore the condition in Theorem 2.2 does not apply to all maximal real subfields. In fact, it is easy to check using Yamada [11] that  $S(K) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$  where  $K = \mathbf{Q}(\epsilon_n + \epsilon_n^{-1})$  and  $n = 4n_1$ , where  $n_1$  is odd. If  $n_1$  is even it is easy to check that  $S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$  is properly contained in  $S(K)$ .

**COROLLARY 2.3.** *If  $K/\mathbf{Q}$  is real of even degree and  $K$  is in  $\mathbf{Q}(\epsilon_n)$  where  $n$  is odd and divisible by at least two distinct primes, and no prime congruent to 1 modulo 4 divides  $n$  then*

$$S(K) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K.$$

*Proof.* If  $[A]$  is in  $S(K)$  then  $A$  has zero invariant at any prime divisor  $q$  of  $n$  since  $\text{ind}_q(A)$  divides the odd integer  $(q - 1)/2$  by Yamada [9, Theorem 4.4, p. 43; 10].

Now we consider  $q \nmid n$ ; i.e.,  $q$  is unramified. If  $q$  has even inertial degree in  $K/\mathbf{Q}$  then  $q$  must have odd inertial degree in  $\mathbf{Q}(\epsilon_n)/K$  since  $\text{Gal}(\mathbf{Q}(\epsilon_n)/\mathbf{Q})$  has no element of order 4. Therefore, if some  $[A]$  in  $S(K)$  has  $\text{ind}_q(A) = 2$  for some prime  $q$ , then  $|\mathbf{Q}_q(\epsilon_n + \epsilon_n^{-1}) : K_{\mathfrak{Q}_1}|$  is odd where  $\mathfrak{Q}_1$  is a  $K$ -prime above  $q$ . But we have  $S(\mathbf{Q}(\epsilon_n + \epsilon_n^{-1})) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} \mathbf{Q}(\epsilon_n + \epsilon_n^{-1})$  by the theorem. Therefore

$$\text{inv}_{\mathfrak{Q}}(A \otimes_K \mathbf{Q}(\epsilon_n + \epsilon_n^{-1})) = \text{inv}_{\mathfrak{Q}}(B \otimes_{\mathbf{Q}} \mathbf{Q}(\epsilon_n + \epsilon_n^{-1})),$$

where  $[B]$  is in  $S(\mathbf{Q})$ . Thus  $A \otimes_K \mathbf{Q}(\epsilon_n + \epsilon_n^{-1})$  in  $S(\mathbf{Q}(\epsilon_n + \epsilon_n^{-1}))$  has nonzero invariant at  $q$  which has even inertial degree in  $K/\mathbf{Q}$ . But  $S(\mathbf{Q}(\epsilon_n + \epsilon_n^{-1})) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$  yields a contradiction. Therefore there does not exist any element in  $S(K)$  with nonzero invariant at any prime with even inertial degree in  $K/\mathbf{Q}$ .

Hence for any  $[A] \in S(K)$  with nonzero invariants at primes in  $T = \{p_1, \dots, p_i\}$  we choose  $[B] \in S(\mathbf{Q})$  with nonzero invariants at the primes in  $T$ , and if the number of primes in  $T$  is odd, then also at some prime with even inertial degree in  $K/\mathbf{Q}$ .  $[B \otimes_{\mathbf{Q}} K]$  then has nonzero invariants  $\frac{1}{2}$  only at the primes in  $S$ . Hence  $[A] = [B \otimes_{\mathbf{Q}} K]$ . Therefore  $S(K) \subseteq S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$ , and clearly  $S(\mathbf{Q}) \otimes_{\mathbf{Q}} K \subseteq S(K)$  so that  $S(K) = S(\mathbf{Q}) \otimes_{\mathbf{Q}} K$ . Q.E.D.

We note that a special case of the above corollary is Yamada's result for real quadratic fields subjected to the restriction on  $n$  as in the corollary.

COROLLARY 2.4. *For  $K$  as in Corollary 2.3,  $|U(K) : S(K)|$  is infinite.*

*Proof.* Choose a prime  $p_i$  with even inertial degree in  $K/\mathbf{Q}$ ; then define  $[A_i]$  in  $U(K)$  with invariant  $\frac{1}{2}$  at the primes of  $K$  above two of the chosen primes  $p_i$ . By Hasse's Sum Theorem  $[A_i]$ 's exist and are clearly in  $U(K)$ . By Dirichlet's density theorem [3, Corollary 9.2.7, p. 168] there are infinitely many such primes  $p_i$ , hence infinitely many algebras  $[A_i]$ . Clearly  $[A_i]$  is not in  $S(\mathbf{Q}) \otimes_{\mathbf{Q}} K = S(K)$  since  $A_i$  is defined at a prime with even inertial degree in  $K/\mathbf{Q}$ . Moreover,  $[A_i] \cdot [A_j]^{-1}$  is not in  $S(K)$  by the same argument. Thus the  $[A_i]$  provide infinitely many coset representatives of  $S(K)$  in  $U(K)$ . Q.E.D.

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